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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/905,536

07/13/2001

Richard P. Dykstra

11460-111

26486
PERKINS, SMITH & COHEN LLP
ONE BEACON STREET
30TH FLOOR
BOSTON, MA 02108

CONFIRMATION NO. 3492
FORMALITIES LETTER
OC000000006487543

Date Mailed: 08/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

10/15/2001 SDENBOB1 00000101 09905536

01 FC:105

130.00 OP

Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/905,536 **TRANSMITTAL** Filing Date July 13, 2001 **FORM** First Named Inventor Jerald P. Dykstra (to be used for all correspondence after initial filing) Group Art Unit 2881 **Examiner Name** N/A Total Number of Pages in This Submission Attorney Docket Number 11460-111 ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached □ Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Post Card Terminal Disclaimer Express Abandonment Request Request for Refund ☐ Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kathryn E. Noll Req. No.: 48,811 Individual name

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

October 10, 2001

Typed or printed name

Kathryn E. Noll

Signature

Date

Date

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (10-01)

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 170.00

Complet if Known				
Application Number	09/905,536			
Filing Date	July 13, 2001			
First Named Inventor	Jerald P. Dykstra			
Examiner Name	N/A			
Group Art Unit	2881			
Attorney Docket No.	11460-111			

METHOD OF PAYMENT	FEE CALCULATION (continued)					
1. X The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES					
Deposit Control of the same credit any overpayments to:	Large Small					
Account 03-2410 Order No.: 11460-	Entity Entity Fee Fee Fee Fee Fee Description	Fee Paid				
Number	Code (\$) Code (\$)					
Deposit Account Name Perkins, Smith & Cohen	105 130 205 65 Surcharge - late filing fee or oath	.30				
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet					
Applicant claims small entity status.	139 130 139 130 Non-English specification					
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination					
2. X Payment Enclosed: y Check Credit card Money Order Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action					
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action					
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month					
Large Entity Small Entity	116 400 216 200 Extension for reply within second month					
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month					
Code (\$) Code (\$) Fee Paid 101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month					
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month					
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal					
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal					
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing					
·	138 1,510 138 1,510 Petition to institute a public use proceeding					
SUBTOTAL (1) (\$)	140 110 240 55 Petition to revive - unavoidable					
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional					
Fee from Ext <u>ra Claims below</u> Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)					
Total Claims20** = X =	143 460 243 230 Design issue fee					
Independent Claims - 3** = X = =	144 620 244 310 Plant issue fee					
Multiple Dependent	122 130 122 130 Petitions to the Commissioner					
	123 50 123 50 Processing fee under 37 CFR 1.17(q)					
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt					
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	40				
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))					
104 280 204 140 Multiple dependent claim, if not paid	149 740 249 370 For each additional invention to be					
109 84 209 42 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))					
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	179 740 279 370 Request for Continued Examination (RCE)					
	169 900 169 900 Request for expedited examination of a design application					
SUBTOTAL (2) (\$)	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170.00						

SUBMITTED BY					Complete (if	Complete (if applicable)	
Name (Print/Type)	Kathryn E. Noll	- 00	Registration No. (Aftomey/Agent)	48,811	Telephone	617-854-4000	
Signature	hathren?	1//0	U		Date	Mt. 102001	

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CERTIFICATE OF MAILING

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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jerald P. Dykstra Examiner: N/A Serial Number: 09/905,536 Art Group: 2881

Filed: July 13, 2001 Docket No. 11460-111 For: GAS CLUSTER ION BEAM SIZE DIAGNOSTICS AND WORKPIECE PROCESSING

Confirmation No.: 3492

Perkins, Smith and Cohen, LLP One Beacon Street Boston, MA 02108 (617) 854-4000

TO: Assistant Commissioner of Patents ATTENTION: BOX MISSING PARTS Washington, D.C. 20231

RESPONSE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated August 28, 2001, an executed Declaration and Power of Attorney are submitted herewith together with a copy of the Notice to File Missing Parts and a check for \$170.00 covering the required fee for the Response to File Missing Parts and Assignment.

Also enclosed herewith, please find an Assignment which is to be recorded for the above-identified application.

Please charge any underpayment of fees to or credit any overpayment of fees to Deposit Account No. 03-2410, order 11460-111.

In accordance with Section 714.01 of the M.P.E.P., the following information is presented in the event that a call may be deemed desirable by the Examiner:

Kathryn E. Noll

(617) 854-4000

Dated: 0/1./0, 2001

Respectfully submitted,

Jerald P. Dykstra, Applicant

By.

Kathryn E/Noll

Reg. #48,8/11

11460-111-RespMissParts